



04-05-06

PATENT  
790001-2045

FW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Mutsuo MORIKADO  
U.S. Serial No. : 10/801,442  
Filing Date : March 16, 2004  
For : ***FIN SEMICONDUCTOR DEVICE AND METHOD FOR  
FABRICATING THE SAME***  
Examiner : Jesse A. Fenty  
Art Unit : 2815  
Confirmation No. : 2001

745 Fifth Avenue  
New York, New York 10151

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Date of Deposit: April 4, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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A. J. [Signature]  
(Signature of person mailing paper or fee)

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**Mail Stop Issue Fee**  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Further to the Information Disclosure Statements filed on March 16, 2004 and October 27, 2004, the Examiner's attention is respectfully invited to review the accompanying form PTO-1449 along with a copy of the references identified therein.

04/06/2006 RNEBRAHT 00000011 10801442

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**FOREIGN PATENT DOCUMENTS**

1. Japanese Patent Application Laid Open No. 58-78466, published May 12, 1983;
  2. Japanese Patent Application Laid Open No. 5-167043, published July 2, 1993;
- and
3. Japanese Patent Application Laid Open No. 2002-110963, published April 12, 2002.

**REMARKS**

These documents were cited in an Office Action dated March 7, 2006 by the Japanese Patent Office in the corresponding Japanese patent application, Japanese Patent Application No. 2003-072220 (copy of Office Action and English translation attached). This Japanese application is also assigned to Kabushiki Kaisha Toshiba.

Please note that the foreign language documents provided are not in the English language. A copy of an English abstract for each document is being provided.

Pursuant to Rule 37 C.F.R. § 1.97(d), an Information Disclosure Statement shall be considered by the Patent Office if filed after the mailing date of a final action under 37 C.F.R. § 1.113, a notice of allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution, provided that the information disclosure statement is filed on or before payment of the issue fee and is accompanied by a statement as specified in 37 C.F.R. § 1.97(e) and the fee set forth in 37 C.F.R. § 1.17(p).

Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned attorney of record hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in communications from a foreign patent office in counterpart foreign applications not more than three months prior to the filing of this Second Supplemental Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.17(p), enclosed is our check in the amount of \$180.00, the requisite fee associated with filing this Information Disclosure Statement.

This Second Supplemental Information Disclosure Statement is not a representation that the documents cited herein are considered most pertinent, or that a search has been undertaken, or that the cited documents are indeed prior art. The Examiner is invited to undertake an independent search. Applicant asserts that the claimed invention is patentable over these documents.

Applicant respectfully requests that the Examiner consider and make of record the documents cited herein and that a copy of Form PTO-1499, appropriately initialed by the Examiner, be returned to Applicant's attorney.

It is believed that no additional fee is due; however, the Commissioner is hereby authorized to charge any fee or credit any overpayment therein to Deposit Account No. 50-0320.

Respectfully submitted,

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Based on Form PTO-1449  
(3/90)

ATTY. DOCKET NO.

790001-2045

SERIAL NO.

10/801,442

SECOND SUPPLEMENTAL LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

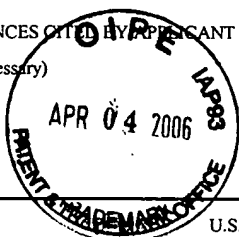
Mutsuo MORIKADO

FILING DATE

March 16, 2004

GROUP

2815



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AA	58-78466	5/12/83	Japan				X**
	BB	5-167043	7/2/93	Japan				X**
	CC	2002-110963	4/12/02	Japan				X**

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)


			EXAMINER
			DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\* English abstract attached.